

05-1801

CPA(27008

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL
Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

MAY 17 2001

CHECK BOX, if applicable:
☐ DUPLICATE

Address to: Assistant Commissioner for Patents Box CPA Washington, DC 20231	Attorney Docket No. of Prior Application	ENT970827-1	RECEIVED MAY 22 2001 Technology Center 210
	First Named Inventor	Weiner et al.	
	Examiner Name	D. Meislahn	
	Group Art Unit	2132	
	Express Mail Label No.	EL707798941US	

This is a request for a ☐ continuation or ☐ divisional application under 37 CFR 1.53(d),
(continued prosecution application (CPA)) of prior application number 08 / 949,525
filed on Oct. 14, 1997, entitled Method and System for Providing Updated Encryption Key Pairs and Digital
Signature Key Pairs in a Public Key System

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

- ☐ Enter the unentered amendment previously filed on _____
under 37 CFR 1.116 in the prior nonprovisional application.
- ☒ A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
.....
 - ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- Information Disclosure Statement (IDS) is enclosed:
 - ☐ PTO-1449
 - ☐ Copies of IDS Citations

05/21/2001 MKORONA 00000095 220259 08949525

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PATENT APPLICATION ^{#21/10} ^{KWS} 5-23-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael J. Weiner et al.
Serial No. 08/949,525
Filing Date: Oct. 14, 1997
Confirmation No.: 8206

Examiner: D. Meislahn
Art Group: 2132
Our file no. 10500.97.8281
Docket No. ENT970827-1

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**Title: METHOD AND SYSTEM FOR PROVIDING UPDATED ENCRYPTION KEY
PAIRS AND DIGITAL SIGNATURE KEY PAIRS IN A PUBLIC KEY SYSTEM**

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U.S. Patent and Trademark Office
Washington, DC 20231

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5/17/01
Date:

Rosalie Swanson
Rosalie Swanson

PRELIMINARY AMENDMENT

Dear Sir:

In response to the Office Action dated February 14, 2001, please amend the above-captioned application to read as follows:

In the claims:

Please amend Claim 1 as follows:

In particular, please substitute the below claim for the indicated pending claim with the same number:

1. (Four Times Amended) A method for providing updated digital signature key pairs in a public key system comprising the steps of:

providing, by a multi-client manager unit, selectable digital signature expiry data including at least public verification key expiry data, and selectable private signing key expiry

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (j))	26	-20* =	6	x \$ 18 =	\$ 108
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	3	-3** =	-0-	x \$ =	
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ =	
				BASIC FEE (37 CFR 1.16)	710
				Total of above Calculations =	818
Reduction by 50% for filing by small entity (Note 37 CFR 1.27).					
* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent.					
TOTAL =					\$818.00

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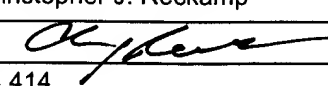
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Technology Center 2100

6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 220259:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☒ Fees required under 37 CFR 1.18.
8. ☐ A check in the amount of \$_____ is enclosed.
9. ☐ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of _____ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11. ☒ New Attorney Docket Number, if desired 10500.97.8281
 [Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]
12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)
- b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13. ☐ Other: _____

NOTE: The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

14. NEW CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		23418		or <input type="checkbox"/> New correspondence address below	
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Name					
Address					
City	State	Zip Code			
Country	Telephone	Fax			

15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
Name (Print /Type)	Christopher J. Reckamp
Signature	
Registration No. (Attorney/Agent)	34,414
Date	May 17, 2001